

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: 5Paisa Capital Limited	
2.	Scrip Code/Name of Scrip/Class of Security: 540776	
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	
	a.	If under 31(1)(b) then indicate the report for Quarter ending
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No
6	Whether the listed entity has any significant beneficial owner?	Yes	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :12/04/2021	NSDL : 31/03/2021	CDSL :31/03/2021
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5Paisa Capital Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	7	8810698	0	0	8810698	34.5302	8810698	0	8810698	34.5302	0	0	0	0	0	0	8810698
(B)	Public	15740	16705220	0	0	16705220	65.4698	16702220	0	16702220	65.458	0	0	0	0	0	0	16693814
(C)	Non Promoter - Non Public					0			0			0		0	0	0	0	
(C1)	Shares Underlying DRs	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total	15747	25515918	0	0	25515918	100	25512918	0	25512918	100	0	100	0	0	0	0	25504512

GENERATED ON :12/04/2021 NSDL : 31/03/2021 CDSL :31/03/2021

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities				Shares Underlying Outstanding convertible securities (including	g, as a % assuming full conversion of convertible securities (as a percentage	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form		
							No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)			
							Class eg: X	Class eg: y	Total										
(I)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a %	(IX)				(X)	(XI)=	(XII)		(XIII)		(XIV)		
1	Indian																		
(a)	Individuals / Hindu Undivided Family	5	8650698	0	0	8650698	33.9031	8650698	0	8650698	33.9031	0	33.9031	0	0	0	0	0	8650698
	Nirmal Bhanwarlal Jain	1	5600088	0	0	5600088	21.9474	5600088	0	5600088	21.9474	0	21.9474	0	0	0	0	0	5600088
	Madhu N Jain	1	1365016	0	0	1365016	5.3497	1365016	0	1365016	5.3497	0	5.3497	0	0	0	0	0	1365016
	Venkataraman Rajamani	1	949594	0	0	949594	3.7216	949594	0	949594	3.7216	0	3.7216	0	0	0	0	0	949594
	Aditi Avinash Athavankar (in her capacity as a Trustee of Kalki Family Trust)	1	720000	0	0	720000	2.8218	720000	0	720000	2.8218	0	2.8218	0	0	0	0	0	720000
	Aditi Athavankar	1	16000	0	0	16000	0.0627	16000	0	16000	0.0627	0	0.0627	0	0	0	0	0	16000
(b)	Central Government / State Government(s)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Financial Institutions / Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Any Other (Specify)	2	160000	0	0	160000	0.6271	160000	0	160000	0.6271	0	0.6271	0	0	0	0	0	160000
	Persons Acting in Concert	2	160000	0	0	160000	0.6271	160000	0	160000	0.6271	0	0.6271	0	0	0	0	0	160000
	Ardent Impex Pvt Ltd	1	108000	0	0	108000	0.4233	108000	0	108000	0.4233	0	0.4233	0	0	0	0	0	108000
	Orpheus Trading Pvt Ltd	1	52000	0	0	52000	0.2038	52000	0	52000	0.2038	0	0.2038	0	0	0	0	0	52000
	Sub Total (A)(1)	7	8810698	0	0	8810698	34.5302	8810698	0	8810698	34.5302	0	34.5302	0	0	0	0	0	8810698
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(b)	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(c)	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(d)	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(e)	Any Other (Specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub Total (A)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)	7	8810698	0	0	8810698	34.5302	8810698	0	8810698	34.5302	0	34.5302	0	0	0	0	0	8810698

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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Table III - Statement showing shareholding pattern of the Public shareholder

1	Category & Name of the shareholders	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including	g, as a % assuming full conversion of convertible securities [as a percentage	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
	(I)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a %	(IX)			(X)	(XI)=	(XII)	(XIII)	(XIV)			
1	Institutions																	
(a)	Mutual Fund	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(b)	Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(c)	Alternate Investment Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(d)	Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(e)	Foreign Portfolio Investor	6	4453651	0	0	4453651	17.4544	4453651	0	4453651	17.4544	0	17.4544	0	0	0	4453651	
	Hwic Asia Fund Class A Shares	1	2269002	0	0	2269002	8.8925	2269002	0	2269002	8.8925	0	8.8925	0	0	0	2269002	
	Bank Muscat India Fund	1	1007856	0	0	1007856	3.9499	1007856	0	1007856	3.9499	0	3.9499	0	0	0	1007856	
	Wf Asian Reconnaissance Fund Limited	1	528506	0	0	528506	2.0713	528506	0	528506	2.0713	0	2.0713	0	0	0	528506	
	Loeco Limited	1	433444	0	0	433444	1.6987	433444	0	433444	1.6987	0	1.6987	0	0	0	433444	
(f)	Financial Institutions / Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(g)	Insurance Companies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(h)	Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(i)	Any Other (Specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Sub Total (B)(1)	6	4453651	0	0	4453651	17.4544	4453651	0	4453651	17.4544	0	17.4544	0	0	0	4453651	
	Central Government/ State Government(s)/ President of India																	
2	Sub Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
3	Non-Institutions																	
(a)	Individuals	14979	4099550	0	0	4099550	16.0667	4099550	0	4099550	16.0667	0	16.0667	0	0	0	4097144	
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	14954	1641659	0	0	1641659	6.4339	1641659	0	1641659	6.4339	0	6.4339	0	0	0	1639253	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	25	2457891	0	0	2457891	9.6328	2457891	0	2457891	9.6328	0	9.6328	0	0	0	2457891	
	Aniruddha Dange		284905	0	0	284905	1.1166	284905	0	284905	1.1166	0	1.1166	0	0	0	284905	
	Vasudev Jagannath Nuggeshalli		281160	0	0	281160	1.1019	281160	0	281160	1.1019	0	1.1019	0	0	0	281160	
(b)	NBFCs registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
	Trust Employee	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(d)	Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(c)	Any Other (Specify)	755	8152019	0	0	8152019	31.9487	8152019	0	8152019	31.9487	0	31.9487	0	0	0	8143019	
	Trusts	2	3059	0	0	3059	0.012	3059	0	3059	0.012	0	0.012	0	0	0	3059	
	Foreign Nationals	1	200	0	0	200	0.0008	200	0	200	0.0008	0	0.0008	0	0	0	200	
	Hindu Undivided Family	325	112510	0	0	112510	0.4409	112510	0	112510	0.4409	0	0.4409	0	0	0	112510	
	Non Resident Indians (Non Repat)	92	327301	0	0	327301	1.2827	327301	0	327301	1.2827	0	1.2827	0	0	0	327301	
	Non Resident Indians (Repat)	148	459830	0	0	459830	1.8021	459830	0	459830	1.8021	0	1.8021	0	0	0	450830	
	Parajia Bharat Himattal	1	400000	0	0	400000	1.5676	400000	0	400000	1.5676	0	1.5676	0	0	0	400000	
	Foreign Portfolio Investor (Individual)	1	2647	0	0	2647	0.0104	2647	0	2647	0.0104	0	0.0104	0	0	0	2647	
	Clearing Member	43	38747	0	0	38747	0.1519	38747	0	38747	0.1519	0	0.1519	0	0	0	38747	
	Bodies Corporate	143	7207725	0	0	7207725	28.2479	7207725	0	7207725	28.2479	0	28.2479	0	0	0	7207725	
	Fih Mauritius Investments Ltd	1	6771314	0	0	6771314	26.5376	6771314	0	6771314	26.5376	0	26.5376	0	0	0	6771314	
	Sub Total (B)(3)	15734	12251569	0	0	12251569	48.0154	12251569	0	12251569	48.0154	0	48.0154	0	0	0	12240163	
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	15740	16705220	0	0	16705220	65.4698	16705220	0	16705220	65.4698	0	65.4698	0	0	0	16693814	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Names	%
ORPHEUS TRADING PVT LTD	0.0470
ORPHEUS TRADING PVT LTD	0.1568
ARDENT IMPEX PVT LTD	0.4233

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders
1

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI) =	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0	0	0	0	0	0	0	0	0	NA	NA	0

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :12/04/2021

NSDL : 31/03/2021

CDSL :31/03/2021

Spaisa Capital Limited**Table V - Statement showing details of the Significant Beneficial Owner**

Sr. No	Details of SBO (I)		Details of Registered Owner (II)		Details of holding/ Exercise of right of the SBO in the reporting Company, whether direct or indirect*: (III)					Date of Creation/Acquisition of significant beneficial interest
	Name	Nationality	Name	Nationality	Whether by virtue of:					
					Shares %	Voting Rights %	Rights on distributable dividend or any other distribution	Exercise of Control	Exercise of Significant Influence	
1	Nirmal Jain and Madhu Jain collectively	Indian	Orpheus Trading Private Limited	Indian	0.20	-	-	No	No	20-10-2017
2	Nirmal Jain and Madhu Jain collectively	Indian	Ardent Impex Private Limited	Indian	0.42	-	-	No	No	20-10-2017

*In case the nature of holding/exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

#This column shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar