

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: 5Paisa Capital Limited
2	Scrip Code/Name of Scrip/Class of Security: 540776
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
a.	If under 31(1)(b) then indicate the report for Quarter ending
b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :04/04/2020

NSDL : 31/03/2020

CDSL :31/03/2020

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Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including [X])	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted [X])	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)	(XIV)		
(A)	Promoter & Promoter Group	7	8810698	0	0	8810698	34.5820	8810698	0	8810698	34.5820	0	34.5820	0	0.0000	0	0.0000	8810698
(B)	Public	14529	16663970	0	0	16663970	65.4062	16663970	0	16663970	65.4062	0	65.4062	0	0.0000	NA	NA	16663970
(C)	Non Promoter - Non Public														0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	1	3000	0	0	3000	0.0118	0	0	0	0.0000	0	0.0118	0	0.0000	NA	NA	3000
	Total	14537	25477668	0	0	25477668	100.0000	25474668	0	25474668	100.0000	0	100.0000	0	0.0000	0	0.0000	25466262

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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including percentage conversion of convertible securities) as a % of (A+B+C2)	Shareholding, as a % assuming full conversion of convertible securities (including percentage conversion of convertible securities) as a % of (A+B+C2)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
									(X)										
1 Indian																			
(a) Individuals / Hindu Undivided Family																			
			5	8650698	0	0	8650698	33.9540	8650698	0	8650698	33.9540	0	33.9540	0	0.0000	0	0.0000	8650698
	Nirmal Bhanwarlal Jain	ABRP92235G	1	5600088	0	0	5600088	21.9804	5600088	0	5600088	21.9804	0	21.9804	0	0.0000	0	0.0000	5600088
	Madhu N Jain	ABEP16034E	1	1365016	0	0	1365016	5.3577	1365016	0	1365016	5.3577	0	5.3577	0	0.0000	0	0.0000	1365016
	Venkataraman Rajamani	ADHP6633G	1	949594	0	0	949594	3.7272	949594	0	949594	3.7272	0	3.7272	0	0.0000	0	0.0000	949594
	Aditi Avinash Athavankar	AAATK0762E	1	720000	0	0	720000	2.8260	720000	0	720000	2.8260	0	2.8260	0	0.0000	0	0.0000	720000
	Aditi Athavankar	AACPAA090J	1	16000	0	0	16000	0.0628	16000	0	16000	0.0628	0	0.0628	0	0.0000	0	0.0000	16000
(b) Central Government / State Government(s)																			
			0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(c) Financial Institutions / Banks																			
			0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(d) Any Other (Specify)																			
	Persons Acting in Concert		2	160000	0	0	160000	0.6280	160000	0	160000	0.6280	0	0.6280	0	0.0000	0	0.0000	160000
	Ardent Impex Pvt Ltd	AAECA7631G	1	108000	0	0	108000	0.4239	108000	0	108000	0.4239	0	0.4239	0	0.0000	0	0.0000	108000
	Orpheus Trading Pvt Ltd	AAAACD7172N	1	52000	0	0	52000	0.2041	52000	0	52000	0.2041	0	0.2041	0	0.0000	0	0.0000	52000
	Sub Total (A11)		7	8810698	0	0	8810698	34.5820	8810698	0	8810698	34.5820	0	34.5820	0	0.0000	0	0.0000	8810698
2 Foreign																			
(a) Individuals (Non-Resident Individuals / Foreign Individuals)																			
			0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(b) Government																			
			0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(c) Institutions																			
			0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(d) Foreign Portfolio Investor																			
			0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
(e) Any Other (Specify)																			
			0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
	Sub Total (A12)		0	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000
	Total Shareholding Of Promoter And Promoter Group (A)= (A11)+(A12)		7	8810698	0	0	8810698	34.5820	8810698	0	8810698	34.5820	0	34.5820	0	0.0000	0	0.0000	8810698

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON -04/04/2020

NSDL : 31/03/2020

CDSL : 31/03/2020

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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including [X])	Shareholding %, as a % assuming full conversion of convertible securities (as a percentage of (A+B+C2))	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XII)	(XIII)	(XIV)				
1 Institutions																		
(a) Mutual Fund		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(c) Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e) Foreign Portfolio Investor		19	4596127	0	0	4596127	18.0398	4596127	0	4596127	18.0398	0	18.0398	0	0.0000	NA	NA	4596127
HwC Asia Fund Class A Shares	AAAC04504G	1	2269002	0	0	2269002	8.9058	2269002	0	2269002	8.9058	0	8.9058	0	0.0000	NA	NA	2269002
Bank Muscat India Fund	AADCB404D	1	1007856	0	0	1007856	3.9558	1007856	0	1007856	3.9558	0	3.9558	0	0.0000	NA	NA	1007856
WF Asian Reconnaissance Fund Limited	AARCW5771E	1	528506	0	0	528506	2.0744	528506	0	528506	2.0744	0	2.0744	0	0.0000	NA	NA	528506
Lobco Limited	AADCL3788Q	1	433444	0	0	433444	1.7013	433444	0	433444	1.7013	0	1.7013	0	0.0000	NA	NA	433444
(f) Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(g) Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(h) Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(i) Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Sub Total (B)(1)		19	4596127	0	0	4596127	18.0398	4596127	0	4596127	18.0398	0	18.0398	0	0.0000	NA	NA	4596127
Central Government/ State Government(s)/ President of India																		
Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
3 Non-Institutions																		
(a) Individuals				0	0													
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		13649	1466613	0	0	1466613	5.7565	1466613	0	1466613	5.7565	0	5.7565	0	0.0000	NA	NA	1464207
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		32	2654291	0	0	2654291	10.4181	2654291	0	2654291	10.4181	0	10.4181	0	0.0000	NA	NA	2654291
Aniruddha Dange	AAHPD1485F		284905	0	0	284905	1.1183	284905	0	284905	1.1183	0	1.1183	0	0.0000	NA	NA	284905
Vasudev Jagannath Nugehalli	AACPW6034D		281160	0	0	281160	1.1036	281160	0	281160	1.1036	0	1.1036	0	0.0000	NA	NA	281160
(b) NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
Trust Employee		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(d) Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(e) Any Other (Specify)		829	7946939	0	0	7946939	31.2918	7946939	0	7946939	31.2918	0	31.2918	0	0.0000	NA	NA	7937939
Trusts		1	59	0	0	59	0.0002	59	0	59	0.0002	0	0.0002	0	0.0000	NA	NA	59
Foreign Nationals		2	5000	0	0	5000	0.0196	5000	0	5000	0.0196	0	0.0196	0	0.0000	NA	NA	5000
Hindu Undivided Family		366	137892	0	0	137892	0.5412	137892	0	137892	0.5412	0	0.5412	0	0.0000	NA	NA	137892
Foreign Companies		1	6771314	0	0	6771314	26.5774	6771314	0	6771314	26.5774	0	26.5774	0	0.0000	NA	NA	6771314
Fih Mauritius Investments Ltd	AACCF5236C	1	6771314	0	0	6771314	26.5774	6771314	0	6771314	26.5774	0	26.5774	0	0.0000	NA	NA	6771314
Non Resident Indians (Non Repeat)		101	352131	0	0	352131	1.3821	352131	0	352131	1.3821	0	1.3821	0	0.0000	NA	NA	352131
Non Resident Indians (Repeat)		170	495056	0	0	495056	1.9431	495056	0	495056	1.9431	0	1.9431	0	0.0000	NA	NA	486656
Parajia Bharat Himatlal	AHMPP5003B	1	400000	0	0	400000	1.5700	400000	0	400000	1.5700	0	1.5700	0	0.0000	NA	NA	400000
Clearing Member		29	8146	0	0	8146	0.0320	8146	0	8146	0.0320	0	0.0320	0	0.0000	NA	NA	8146
Bodies Corporate		159	177341	0	0	177341	0.6961	177341	0	177341	0.6961	0	0.6961	0	0.0000	NA	NA	177341
Sub Total (B)(3)		14510	12067843	0	0	12067843	47.3664	12067843	0	12067843	47.3664	0	47.3664	0	0.0000	NA	NA	12056437
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		14529	16663970	0	0	16663970	65.4062	16663970	0	16663970	65.4062	0	65.4062	0	0.0000	NA	NA	16652564

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Names	Holdings	%
ARDENT IMPEX PVT LTD	108000	0.4239
ORPHEUS TRADING PVT LTD	12000	0.0471
ORPHEUS TRADING PVT LTD	40000	0.157

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares
1	376

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares: Kindly refer Annexure - I
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

SPaisa Capital Limited

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying & Outstanding convertible securities (including	Shareholding, as a % assuming full conversion of convertible securities (as a % of (A+B+C2))	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class eg: X	Class eg: y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		1	3000	0	0	3000	0.0118	3000	0	3000	0.0118	0	0.0118	0	0.0000	NA	NA	3000
	Total Non-Promoter- Non Public Shareholding (C)=(C1)+(C2)		1	3000	0	0	3000	0.0118	3000	0	3000	0.0118	0	0.0118	0	0.0000	NA	NA	3000

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available