

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1.	Name of Listed Entity: 5Paisa Capital Limited
2.	Scrip Code/Name of Scrip/Class of Security: 540776
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?		No
5	Whether any shares held by promoters are pledge or otherwise encumbered?		No

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON :02/01/2021 NSDL : 31/12/2020 CDSL :31/12/2020

For 5paise Capital Limited

 Namita Godbole
 Company Secretary

Spaisa Capital Limited
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Nos. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights			Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI) =	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	7	8805682	0	0	8805682	34.5566	8805682	0	8805682	34.5566	0	34.5566	0	0.0000	0	0.0000	8805682
(B)	Public	14727	16673236	0	0	16673236	65.4316	16673236	0	16673236	65.4316	0	65.4316	0	0.0000	NA	NA	16661830
(C)	Non Promoter - Non Public				0				0			0			0.0000	NA	NA	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
(C2)	Shares Held By Employee Trust	1	3000	0	0	3000	0.0118	0	0	0	0.0000	0	0.0118	0	0.0000	NA	NA	3000
	Total	14735	25481918	0	0	25481918	100.0000	25478918	0	25478918	100.0000	0	100.0000	0	0.0000	0	0.0000	25470512

GENERATED ON :02/01/2021 NSDL : 31/12/2020 CDSL :31/12/2020

For Spaisa Capital Limited

 Namita Godbole
 Company Secretary

5Paisa Capital Limited
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form		
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total									
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) =	(viii) As a	(ix)			(x)	(xi) =	(xii)		(xiii)		(xiv)		
1	Indian																		
(a)	Individuals / Hindu Undivided Family		5	8645682	0	0	8645682	33.9287	8645682	0	8645682	33.9287	0	33.9287	0	0.0000	0	0.0000	8645682
	Nirmal Bhanwarlal Jain	ABRPJ9235G	1	5600088	0	0	5600088	21.9767	5600088	0	5600088	21.9767	0	21.9767	0	0.0000	0	0.0000	5600088
	Madhu N Jain	ABEPJ6034E	1	1360000	0	0	1360000	5.3371	1360000	0	1360000	5.3371	0	5.3371	0	0.0000	0	0.0000	1360000
	Venkataraman Rajamani	ADHPR6633G	1	949594	0	0	949594	3.7265	949594	0	949594	3.7265	0	3.7265	0	0.0000	0	0.0000	949594
	Aditi Avinash Athavankar	AADTK0762E	1	720000	0	0	720000	2.8255	720000	0	720000	2.8255	0	2.8255	0	0.0000	0	0.0000	720000
	Aditi Athavankar	AACPA0090J	1	16000	0	0	16000	0.0628	16000	0	16000	0.0628	0	0.0628	0	0.0000	0	0.0000	16000
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		2	160000	0	0	160000	0.6279	160000	0	160000	0.6279	0	0.6279	0	0.0000	0	0.0000	160000
	Persons Acting In Concert		2	160000	0	0	160000	0.6279	160000	0	160000	0.6279	0	0.6279	0	0.0000	0	0.0000	160000
	Ardent Impex Pvt Ltd	AAECA7631G	1	108000	0	0	108000	0.4238	108000	0	108000	0.4238	0	0.4238	0	0.0000	0	0.0000	108000
	Orpheus Trading Pvt Ltd	AAACO7172N	1	52000	0	0	52000	0.2041	52000	0	52000	0.2041	0	0.2041	0	0.0000	0	0.0000	52000
	Sub Total (A)(1)		7	8805682	0	0	8805682	34.5566	8805682	0	8805682	34.5566	0	34.5566	0	0.0000	0	0.0000	8805682
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		7	8805682	0	0	8805682	34.5566	8805682	0	8805682	34.5566	0	34.5566	0	0.0000	0	0.0000	8805682

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s)
- (2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

GENERATED ON :02/01/2021 NSDL : 31/12/2020 CDSL :31/12/2020

For Spaisa Capital Limited

 Namita Godbole
 Company Secretary

5Paisa Capital Limited
Table III - Statement showing shareholding pattern of the Public shareholder

1	Category & Name of the shareholders (I)	PAN (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) =	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) As a	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including securities convertible as a % assuming full conversion of convertible securities) (X)	No. of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialised form (XIV)		
									Class eg: X	Class eg: y	Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)		No. (a)	As a % of total Shares held(b)
	Institutions																	
(a)	Mutual Fund		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(d)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(e)	Foreign Portfolio Investor		7	4468447	0	0	4468447	17.5358	4468447	0	4468447	17.5358	0	0.0000	NA	NA	4468447	
	Hwic Asia Fund Class A Shares	AAACO4504G	1	2269002	0	0	2269002	8.9044	2269002	0	2269002	8.9044	0	0.0000	NA	NA	2269002	
	Bank Muscat India Fund	AADC84040P	1	1007856	0	0	1007856	3.9552	1007856	0	1007856	3.9552	0	0.0000	NA	NA	1007856	
	Wf Asian Reconnaissance Fund Limited	AABCW5771E	1	528506	0	0	528506	2.0740	528506	0	528506	2.0740	0	0.0000	NA	NA	528506	
	Lobco Limited	AADCL3788Q	1	433444	0	0	433444	1.7010	433444	0	433444	1.7010	0	0.0000	NA	NA	433444	
(f)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(g)	Insurance Companies		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(h)	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(i)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
	Sub Total (B)(1)		7	4468447	0	0	4468447	17.5358	4468447	0	4468447	17.5358	0	0.0000	NA	NA	4468447	
	Central Government/ State Government(s)/ President of India																	
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
	Non-Institutions																	
(a)	Individuals			0	0								0		NA	NA		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		13929	1486082	0	0	1486082	5.8319	1486082	0	1486082	5.8319	0	0.0000	NA	NA	1483676	
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		26	2560219	0	0	2560219	10.0472	2560219	0	2560219	10.0472	0	0.0000	NA	NA	2560219	
	Anirudha Dange	AAHPD1485F		284905	0	0	284905	1.1181	284905	0	284905	1.1181	0	0.0000	NA	NA	284905	
	Vasudeva Jagannath Nuggehalli	AACPN6034D		281160	0	0	281160	1.1034	281160	0	281160	1.1034	0	0.0000	NA	NA	281160	
(b)	NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
	Trust Employee		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(d)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	NA	NA	0	
(c)	Any Other (Specify)		765	8158488	0	0	8158488	32.0168	8158488	0	8158488	32.0168	0	0.0000	NA	NA	8149488	
	Trusts		1	59	0	0	59	0.0002	59	0	59	0.0002	0	0.0000	NA	NA	59	
	Foreign Nationals		1	200	0	0	200	0.0008	200	0	200	0.0008	0	0.0000	NA	NA	200	
	Hindu Undivided Family		332	135908	0	0	135908	0.5334	135908	0	135908	0.5334	0	0.0000	NA	NA	135908	
	Foreign Companies		1	6771314	0	0	6771314	26.5730	6771314	0	6771314	26.5730	0	0.0000	NA	NA	6771314	
	Fih Mauritius Investments Ltd	AACCF5236C		6771314	0	0	6771314	26.5730	6771314	0	6771314	26.5730	0	0.0000	NA	NA	6771314	
	Non Resident Indians (Non Repat)		91	329695	0	0	329695	1.2938	329695	0	329695	1.2938	0	0.0000	NA	NA	329695	
	Non Resident Indians (Repat)		145	457187	0	0	457187	1.7942	457187	0	457187	1.7942	0	0.0000	NA	NA	448187	
	Parajia Bharat Himatlal	AHMPP5003B		400000	0	0	400000	1.5697	400000	0	400000	1.5697	0	0.0000	NA	NA	400000	
	Foreign Portfolio Investor (Individual)		1	803	0	0	803	0.0032	803	0	803	0.0032	0	0.0000	NA	NA	803	
	Clearing Member		43	41676	0	0	41676	0.1636	41676	0	41676	0.1636	0	0.0000	NA	NA	41676	
	Bodies Corporate		150	421646	0	0	421646	1.6547	421646	0	421646	1.6547	0	0.0000	NA	NA	421646	
	Sub Total (B)(3)		14720	12204789	0	0	12204789	47.8959	12204789	0	12204789	47.8959	0	0.0000	NA	NA	12193383	

Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)	14727	16673236	0	0	16673236	65.4316	16673236	0	16673236	65.4316	0	65.4316	0	0.0000	NA	NA	16661830
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Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Names	Holdings	%
ORPHEUS TRADING PVT LTD	40000	0.1570
ARDENT IMPEX PVT LTD	108000	0.4238
ORPHEUS TRADING PVT LTD	12000	0.0471

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

No. of shareholders	No. of Shares
1	376

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :02/01/2021 NSDL : 31/12/2020 CDSL :31/12/2020

For Spaisa Capital Limited

 Namita Godbole
 Company Secretary

5Paise Capital Limited
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders	PAN	Nos. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			Shares Underlying Outstanding convertible securities (including	ing, as a % assuming full conversion of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) =	(VIII) As a	(IX)			(X)	(XI)=	(XII)		(XIII)		(XIV)	
1 Custodian/DR Holder		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2 Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		1	3000	0	0	3000	0.0118	3000	0	3000	0.0118	0	0.0118	0	0.0000	NA	NA	3000
Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		1	3000	0	0	3000	0.0118	3000	0	3000	0.0118	0	0.0118	0	0.0000	NA	NA	3000

Note :

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

GENERATED ON :02/01/2021

NSDL : 31/12/2020

CDSL :31/12/2020

For 5paise Capital Limited

 Namita Godbole
 Company Secretary

IIFL Securities Limited
Table V - Statement showing details of the Significant Beneficial Owner

Sr. No	Details of SBO (I)			Details of Registered Owner (II)			Details of holding/ Exercise of right of the SBO in the reporting Company, whether direct or indirect*: (III)					Date of Creation/Acquisition of
	Name	PAN/Passport No. in case of a Foreign National	Nationality	Name	PAN/Passport No. in case of a Foreign National	Nationality	Whether by virtue of:					
							Shares %	Voting Rights %	Rights on distributable dividend or any other distribution	Exercise of Control	Exercise of Significant Influence	
1	Nirmal Jain and Madhu Jain collectively	ABRPJ9235G	Indian	Orpheus Trading Private Limited	AAACO7172N	Indian	0.20	-	-	No	No	20/10/2017
2	Nirmal Jain and Madhu Jain collectively	ABRPJ9235G	Indian	Ardent Impex Private Limited	AAECA7631G	Indian	0.42	-	-	No	No	20/10/2017

*In case the nature of holding/exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Coloumn III, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

#This coloumn shall have the details as specified by the listed entity under Form No. BEN-2 as submitted to the Registrar

For Spaisa Capital Limited



Namita Godbole
Company Secretary

Spaisa Capital Limited

Corporate Identity Number: L67190MH2007PLC289249

Corp. Office / Regd. Office : IIFL House, Sun Infotech Park, Road No.16V, Plot No. B-23, Wagale Estate, Thane 400 604. Tel: +918 976689 766
 • E-mail: support@5paisa.com • Website: www.5paisa.com