

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1.	Name of Listed Entity: Spaisa Capital Limited
2.	Scrip Code/Name of Scrip/Class of Security: 540776
3.	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c) <ul style="list-style-type: none"> <li>a. if under 31(1)(b) then indicate the report for Quarter ending</li> <li>b. if under 31(1)(c) then indicate date of allotment/extinguishment</li> </ul>
4.	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		No
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?		No
5 Whether any shares held by promoters are pledged or otherwise encumbered?		No
6 Whether the Listed entity has any Significant Beneficial Owner?		No

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

GENERATED ON : 01/07/2019 NSDL : 29/06/2019 CDCL : 29/06/2019




5paisa Capital Limited

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = Total nos. of shares held	(VIII) As a % of total no. of shares (calculate as per SCRR, 1957)	Number of Voting Rights held in each class of securities			Total as a % of (A+B+C)	Shares Underlying convertible securities (including securities)	(X) = ing. as a % assuming conversion of convertible securities	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form
										(IX) Class eg: X	(X) Class eg: Y	(XI) Total						
(A)	Promoter & Promoter Group	7	3877964	0	0	0	0	3877964	30.4416	0	0	0	30.4416	0	0	0	0	3877964
(B)	Public	23459	8858058	0	0	0	0	8858058	69.5348	0	0	0	69.5348	0	0	0	0	8858058
(C)	Non Promoter - Non Public	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C1)	Shares Underlying DRS	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C2)	Shares Held By Employee Trust	1	3000	0	0	0	0	3000	0.02	0	0	0	0.02	0	0	0	0	3000
	Total	23467	12739022	0	0	0	0	12739022	100	12739022	0	12739022	100	0	0	0	0	12727713

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**Spaisa Capital Limited**

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Category & Name of the shareholders	Nos. of shares held	No. of fully paid up equity shares held	Party paid-up equity shares held	No. of Depository shares held	Total nos. shares held	Shareholding % as per SCRR, 1957 as a % of (A+B+C2)	Number of Voting Rights held in each class			Total as a % of (A+B+C)	Shares Underlying convertible securities (including securities)	Outstanding convertible securities (including securities)	Assuming full conversion of convertible securities	Number of Locked in Shares		Number of Shares pledged or otherwise encumbered	As a % of total Shares held	Number of equity shares held in dematerialized form
							No of Voting Rights	Class eg: X	Class eg: Y					Total	No. (a)			
1	Indian	5	3177964	0	3177964	29.1856	3177964	0	3177964	29.1856	0	29.1856	0	0	0	0	0	3177964
	Individuals / Hindu Undivided Family	1	2231079	0	2231079	17.5137	2231079	0	2231079	17.5137	0	17.5137	0	0	0	0	0	2231079
	Normal Bhanwarlal Jain	1	682508	0	682508	5.3576	682508	0	682508	5.3576	0	5.3576	0	0	0	0	0	682508
	Madhul N Jain	1	436377	0	436377	3.4355	436377	0	436377	3.4355	0	3.4355	0	0	0	0	0	436377
	Neelakantam Bahmani	1	350000	0	350000	2.828	350000	0	350000	2.828	0	2.828	0	0	0	0	0	350000
	Kadri Arinank Athivanthar	1	8000	0	8000	0.0628	8000	0	8000	0.0628	0	0.0628	0	0	0	0	0	8000
	Kadri Athivanthar	1	8000	0	8000	0.0628	8000	0	8000	0.0628	0	0.0628	0	0	0	0	0	8000
	Central Government / State Government(s)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Financial Institutions / Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Any Other (Specify)	2	160000	0	160000	1.256	160000	0	160000	1.256	0	1.256	0	0	0	0	0	160000
	Persons Acting in Concert	2	160000	0	160000	1.256	160000	0	160000	1.256	0	1.256	0	0	0	0	0	160000
	Arden Impex Pvt Ltd	1	108000	0	108000	0.8478	108000	0	108000	0.8478	0	0.8478	0	0	0	0	0	108000
	Orpheus Trading Pvt Ltd	1	52000	0	52000	0.4082	52000	0	52000	0.4082	0	0.4082	0	0	0	0	0	52000
	Sub Total (A1)	7	3877964	0	3877964	30.4416	3877964	0	3877964	30.4416	0	30.4416	0	0	0	0	0	3877964
2	Foreign	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Individuals (Non-Resident Individuals / Foreign Individuals)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Government	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Foreign Portfolio Investor	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Any Other (Specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Sub Total (A2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
	Total shareholding Of Promoter And Promoter Group (A) = (A1)+(A2)	7	3877964	0	3877964	30.4416	3877964	0	3877964	30.4416	0	30.4416	0	0	0	0	0	3877964

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Fencumbance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

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**Spaisa Capital Limited**

**Table III - Statement showing shareholding pattern of the public shareholder**

Category & Name of the shareholders	No. of Shareholding Shares	No. of Fully paid up equity shares	Partly paid-up equity shares	No. of Depository Shares	Total nos. Depository Shares held	Shareholding % as on 31.03.2019	Number of Voting Rights held in each class		Total as a % of (A+B+C)	Shares Underlying & convertible securities (including)	No. of convertible securities	No. of convertible securities	Number of Locked in shares		Number of Shares pledged or otherwise	Number of equity shares held in demerit form
							Class eq: Y	Class eq: Z					As a % of total Shares held (a)	As a % of total Shares held (b)		
1 Institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(A) Mutual Fund	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(B) Venture Capital Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(C) Alternative investment funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(D) Foreign Venture Capital Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(E) Foreign Portfolio Investor	22	2358922	0	0	2358922	18.5173	2358922	0	2358922	18.5173	0	18.5173	0	0	0	2358922
(F) Hedge Fund Class A Shares	1	1134501	0	0	1134501	8.9571	1134501	0	1134501	8.9571	0	8.9571	0	0	1134501	
(G) Hedge Fund Class A Shares	1	509928	0	0	509928	3.9538	509928	0	509928	3.9538	0	3.9538	0	0	509928	
(H) Multi Asset Fund Class A Shares	1	284233	0	0	284233	2.0744	284233	0	284233	2.0744	0	2.0744	0	0	284233	
(I) V/SAR Recombinants Fund Limited	1	216722	0	0	216722	1.7012	216722	0	216722	1.7012	0	1.7012	0	0	216722	
(J) Global Investors	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(K) Financial Institutions / Banks	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(L) Insurance Companies	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(M) Provident Funds/ Pension Funds	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(N) Any Other (Specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(O) Sub Total (B)(1)	22	2358922	0	0	2358922	18.5173	2358922	0	2358922	18.5173	0	18.5173	0	0	2358922	
2 Central Government/ State Government(s)/ President of India	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
3 Sub Total (B)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
4 Individuals	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(A) Non institutions	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(B) Individual shareholders holding nominal share capital up to Rs. 2 Lakhs.	21766	1000510	0	0	1000510	7.8539	1000510	0	1000510	7.8539	0	7.8539	0	0	1000510	
(C) Individual shareholders holding nominal share capital in excess of Rs. 2 Lakhs.	19	1172855	0	0	1172855	9.2068	1172855	0	1172855	9.2068	0	9.2068	0	0	1172855	
(D) Any Other (Specify)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(E) Hindu Undivided Family	0	134905	0	0	134905	1.059	134905	0	134905	1.059	0	1.059	0	0	134905	
(F) Partnership Firm/ LLP	0	131160	0	0	131160	1.0296	131160	0	131160	1.0296	0	1.0296	0	0	131160	
(G) NRFC registered with RBI	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(H) Trusts Employee	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(I) Overseas Depositor/(holding DIB) (balancing figure)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
(J) Any Other (Specify)	1652	4325771	0	0	4325771	33.9569	4325771	0	4325771	33.9569	0	33.9569	0	0	4325771	
(K) Trusts	4	90	0	0	90	0.0007	90	0	90	0.0007	0	0.0007	0	0	90	
(L) Foreign Nationals	2	5000	0	0	5000	0.0392	5000	0	5000	0.0392	0	0.0392	0	0	5000	
(M) Hindu Undivided Family	644	66465	0	0	66465	0.5117	66465	0	66465	0.5117	0	0.5117	0	0	66465	
(N) Foreign Companies	1	3385657	0	0	3385657	26.5771	3385657	0	3385657	26.5771	0	26.5771	0	0	3385657	
(O) FII Mauritius Investments Ltd	1	3385657	0	0	3385657	26.5771	3385657	0	3385657	26.5771	0	26.5771	0	0	3385657	
(P) Non Resident Indians (Non ResaII)	167	293435	0	0	293435	2.3034	293435	0	293435	2.3034	0	2.3034	0	0	293435	
(Q) Non Resident Indians (ResaII)	1	215471	0	0	215471	1.6914	215471	0	215471	1.6914	0	1.6914	0	0	215471	
(R) Bharat I Paraja	1	215471	0	0	215471	1.6914	215471	0	215471	1.6914	0	1.6914	0	0	215471	
(S) Non Resident Indians (ResaII)	385	474517	0	0	474517	3.7289	474517	0	474517	3.7289	0	3.7289	0	0	474517	
(T) Bharat I Paraja	1	400000	0	0	400000	3.14	400000	0	400000	3.14	0	3.14	0	0	400000	
(U) Bharat I Paraja	63	16947	0	0	16947	0.133	16947	0	16947	0.133	0	0.133	0	0	16947	
(V) Cleared Member	406	83660	0	0	83660	0.6567	83660	0	83660	0.6567	0	0.6567	0	0	83660	
(W) Bodies Corporate	23437	6499136	0	0	6499136	51.0175	6499136	0	6499136	51.0175	0	51.0175	0	0	6499136	
(X) Sub Total (B)(3)	23437	6499136	0	0	6499136	51.0175	6499136	0	6499136	51.0175	0	51.0175	0	0	6499136	
(Y) Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)	23459	8858058	0	0	8858058	69.5348	8858058	0	8858058	69.5348	0	69.5348	0	0	8858058	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Name(s)	%
ARDENT IMPRX PVT LTD	0.8479
ORPHEUS TRADING PVT LTD	0.0942
ORPHEUS TRADING PVT LTD	0.3140

Note: (1) PAN would not be displayed on website of Stock Exchange(s).  
 (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares  
 (3) Where the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.  
 GENERATED ON 30/07/2019 NSDL : 29/06/2019 CDSL :



**Spaisa Capital Limited**

**Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder**

S.No	Category & Name of the shareholders	PAN	Nos. of sharehold- ers	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of Depositor shares held	Total nos. as per SCRR, 1957 As a % of (A+B+C)	Number of Voting rights held in each class		Total as a % of (A+B+C)	Outstand- ing & convertible securities (including securities assumed)	Number of Locked in Shares	Number of Shares pledged or otherwise	Number of equity shares held in dematerial- ized form
								No of Voting Rights Class sp. X	Class sp. Y Total					
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII)	(VIII) As a % of (A+B+C)	(IX) As a % of (A+B+C)	(X) As a % of (A+B+C)	(XI) As a % of (A+B+C)	(XII)	(XIII)	(XIV)	(XV)
1	Custodian/DH Holder		0	0	0	0	0	0	0	0	0	0	0	0
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		1	3000	0	0	0.0235	0	0	0.0235	0	0	0	3000
	Total Non-Promoter- Non Public Shareholding (C1- C15)(C12)		1	3000	0	0	0.0235	0	0	0.0235	0	0	0	3000

Note :

(1) PAN would not be displayed on website of Stock Exchanges.

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

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